1 BEFORE THE POLLUTION CONTROL HEARINGS BOARD 2 STATE OF WASHINGTON 3 IN THE MATTER OF GORDON K. GABRIELSON, 4 PCHB No. 80-164 Appellant, 5 FINAL FINDINGS OF FACT, v. 6 CONCLUSIONS OF LAW AND ORDER STATE OF WASHINGTON, 7 DEPARTMENT OF ECOLOGY, 8 Respondent. 9

This matter, the appeal from a denial of an application to take an examination for waste water treatment plant operator IV, came before the Pollution Control Hearings Board, Nat Washington, Chairman, and David Akana (presiding), in Seattle on December 11, 1980.

Appellant was represented by his attorney, Richard A. Mattsen; respondent was represented by Wick Dufford, assistant attorney general.

Having heard or read the testimony, having examined the exhibits, and having considered the contentions of the parties; the Board having issued its proposed order on December 30, 1980, and having received

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exceptions thereto and replies to exceptions; and the Board having considered and denied the exceptions, the Board now makes these FINDINGS OF FACT

Ι

Appellant is the manager of the West Division waste treatment plant which is one of several treatment plants operated by the Municipality of Metropolitan Seattle (METRO). The West Division plant is categorized as a class IV plant by respondent in WAC 173-230-140. Such a classification places the plant in the highest class assignment in the state.

ΙI

As manager of the West Division, appellant is responsible for the proper management of the plant. His duties do not require him to personally operate any portion of the treatment system although he can instruct operating personnel to do physical acts which could affect the operation of the system. In his relevant experience, appellant has not actually operated a waste treatment plant and has not had responsible charge as an operator for the actual operation of a waste treatment plant. While manager of the West Division, appellant has had managerial responsibility for the entire plant.

III

Appellant's experience relative to sludge utilization does not

^{1.} Although not material to the outcome of this case, the attorneys agree that there are three treatment plants. Mr. Gabrielson mentioned five. Transcript Page 60 lines 10-14.

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involve the actual operation of a plant. Such experience was credited to appellant to satisfy a portion of the experience requirement for which equivalent experience could be credited.

Iν

Respondent's director has adopted rules relating to the certification of operators of waste water treatment plants in ch. 173-230 WAC. Such rules do not set forth criteria regarding "experience" or "responsible charge" as necessary requirements for qualifying to take the class IV operator examination. These requirements are found in Department of Ecology publication DOE 80-3. The publication has not been adopted as a rule in accordance with ch. 34.04 RCW by any state agency. Appellant has had actual notice of the publication and criteria therein prior to his application to take the group IV examination.

V

Publication DOE 80-3 requires that all applicants for examination in group IV classification meet as a minimum, four years of college or university education, four years of acceptable operation or equivalent of a Class III or higher treatment system, two years of which must be actual on-site operating experience, and two years of responsible charge time. Allowance is made for equivalents for education, experience, and responsible charge time. Section 6.6.

VΙ

Appellant has been credited for the maximum equivalent experience and equivalent responsible charge time. He does not have two years of

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actual on-site operating experience and one year of such experience in responsible charge in a Class III or higher plant. Consequently appellant does not qualify for taking the examination for Group IV operator according to Publication DOE 80-3.

VII

Any Conclusion of Law which should be deemed a Finding of Fact is hereby adoped as such.

From these Findings the Board comes to these CONCLUSIONS OF LAW

I

For purposes of this matter, respondent's decision was agreed by the parties to be a decision of the director of the Department of Ecology and reviewable by this Board.

ΙI

Respondent has the authority and duty to adopt and enforce rules which provide for the qualification and certification of operators for different classifications of waste treatment plants. RCW 70.95B.040. The plants are to be classified according to size, type, and other conditions affecting the complexity of the plant, and the skill, knowledge and experience required of an operator to supervise the operation of the plant to protect the public health and state's water resources. RCW 70.95B.050. Respondent clearly has authority to require a certain level of experience of operators before they may qualify to operate a particular category of waste treatment plant.

The definition for "operator" means an individual designated as

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"the person on-site in responsible charge of the actual operation of a waste treatment plant." RCW 70.95B.020(b). Respondent's authority to certify "operators" certainly would permit respondent to adopt reasonable rules relating to operator qualification with respect to experience involving responsible charge of a plant.

III

Section 6 of Publication DOE 80-3 attempts to establish qualifications or standards for the issuances of licenses in a trade or profession and is a "rule" within the meaning of RCW 34.04.010(2).

A certificate issued by the director pursuant to ch. 173-230 WAC is a "license" within the meaning RCW 34.04.010(4).

Section 6 of Publication DOE 80-3 does not have the effect of a valid rule because it was not adopted in substantial compliance with RCW 34.04.025. Section 6 has not been published by the code revisor and is not effective as a rule. RCW 34.04.027.

Respondent's criteria in Section 6 of Publication DOE 80-3 are rules and should be adopted as rules with an opportunity for public input. Respondent's citation to authority which may indicate otherwise is not persuasive. Respondent is a state agency created by the legislature and subject to the mandates of the legislature in ch. 34.04 RCW.

IV

The Department of Ecology rules, in ch. 173-230 WAC, make certification available to all "operators" who can meet the minimum qualification of a given classification. WAC 173-230-010. A

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certificate issued by the director attests that the operator has met the requirements for the specified operator classification. WAC 173-230-020(4). The wastewater operators certification board of examiners created by RCW 70.95B.070, as a part of its duties, develops operator qualification standards for different classifications of plants and examines the qualifications of applicants for certification. WAC 173-230-030(2). In order to get a certificate, an applicant must file an application, successfully complete an examination (unless exempted), and pay certain fees. WAC 173-230-050. Upon receipt of an application, the applicant's eligibility is reviewed. WAC 173-230-060. Nowhere in ch. 1730230 WAC are operator qualification standards explicitly set forth. However, any person inquiring of the program is given information such as that found in Publication DOE 80-3 on request.

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Both the statute and regulations allow the establishment of "experience" and "responsible charge - time" criteria. Respondent simply has not set the criteria by rule. However, this does not mean that respondent is to dispense with statutory requirements as appellant suggests. It means that respondent should promptly adopt rules in order to protect the public health and waters of the state. See RCW 70.95B.010, .900.

VI

Appellant's appeal should be denied insofar as it seeks to require the Department of Ecology to admit him to the next available

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examination. The respondent's decision should be reversed and be 1 remanded to the department for further consideration at such time when 2 valid rules, which may differ from Publication DOE 80-3, are adopted. 3 VII 4 Any Finding of Fact which should be deemed a Conclusion of Law is 5 6 hereby adopted as such. From these Conclusions the Board enters this 7 8 ORDER Gordon K. Gabrielson's appeal seeking admission to take the Group 9 10 IV wastewater treatment plant operator examination is denied. Respondent Department of Ecology decision is reversed and remanded for 11 further consideration of appellant's application after rules are duly 12 adopted. 3 DONE this 30th day of January, 1981. 14 15 POLLUTION CONTORL HEARINGS BOARD 16 17 18 19 MARIANNE CRAFT NORTON, Member 20 21 22 23 24 25 -6 FINAL FINDINGS OF FACT,

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CONCLUSIONS OF LAW & ORDER